

Canonical and semicanonical bases

(Journées Jacques Alev, Reims)

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Overview

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- Lusztig, Kashiwara (1990):

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Problem

Compare these bases.

Algebras

The algebra \mathcal{A}

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Definition

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\mathcal{A} , algebra over $\mathbb{Q}(q)$

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- Generators : u_0, u_1, u_2, u_3
- Relations :

$$u_i u_{i+1} = q^{-2} u_{i+1} u_i, \quad (0 \leq i \leq 2),$$

$$u_i u_{i+2} = q^{-2} u_{i+2} u_i + (q^{-2} - 1) u_{i+1}^2, \quad (0 \leq i \leq 1),$$

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Standard monomials

$$u[\mathbf{a}] := u_3^{a_3} u_2^{a_2} u_1^{a_1} u_0^{a_0}, \quad (\mathbf{a} = (a_3, a_2, a_1, a_0) \in \mathbb{N}^4).$$

form a $\mathbb{Q}(q)$ -basis of \mathcal{A} .

The algebra \mathcal{A}

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Definition

$\{E[\mathbf{a}] \mid \mathbf{a} \in \mathbb{N}^4\}$ is the dual **PBW basis** of \mathcal{A} .

The algebra \mathcal{A}

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$$p_0 := u_2 u_0 - q^2 u_1^2 = E[0, 1, 0, 1] - qE[0, 0, 2, 0],$$

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$$\begin{aligned} p_0 &:= u_2 u_0 - q^2 u_1^2 = E[0, 1, 0, 1] - qE[0, 0, 2, 0], \\ p_1 &:= u_3 u_1 - q^2 u_2^2 = E[1, 0, 1, 0] - qE[0, 2, 0, 0]. \end{aligned}$$

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p_0 and p_1 are *q-central*

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$$p_0 u_0 = q^2 u_0 p_0, \quad p_0 u_1 = u_1 p_0, \quad p_0 u_2 = q^{-2} u_2 p_0, \quad p_0 u_3 = q^{-4} u_3 p_0,$$

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$$p_1 u_0 = q^4 u_0 p_1, \quad p_1 u_1 = q^2 u_1 p_1, \quad p_1 u_2 = u_2 p_1, \quad p_1 u_3 = q^{-2} u_3 p_1.$$

The algebra A

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Integral form

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$$\underline{\mathcal{A}} := \mathbb{Q} \otimes_{\mathbb{Z}[q, q^{-1}]} \mathcal{A}_{\mathbb{Z}} = \mathbb{Q}[x_0, x_1, x_2, x_3],$$

where $x_i = 1 \otimes u_i$.

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$$f_0 := 1 \otimes p_0 = x_2 x_0 - x_1^2,$$

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Proposition (Geiss-L-Schröer)

The assignment

$$x_0 \mapsto \Delta_{s_0(\varpi_0)}, x_1 \mapsto \Delta_{s_0 s_1(\varpi_1)}, f_0 \mapsto \Delta_{s_0 s_1 s_0(\varpi_0)}, f_1 \mapsto \Delta_{s_0 s_1 s_0 s_1(\varpi_1)},$$

extends to an isomorphism $\mathcal{A} \cong \mathbb{C}[N(w)]$.

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Proposition

\mathcal{A} is the **quantum** cluster algebra with initial seed
((u_1, u_2, p_0, p_1), B, L), where

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- $\mathbb{C}[N(w)] \cong \mathbb{C}[N]^{N'(w)}$ (where $N'(w) = N \cap (w^{-1}Nw)$), the polynomial **subalgebra** of $\mathbb{C}[N]$ with generators
$$\Delta_{s_0(\varpi_0)}, \Delta_{s_0 s_1(\varpi_1)}, \Delta_{s_0(\varpi_0), s_1 s_0 s_0(\varpi_0)}, \Delta_{s_0 s_1(\varpi_1), s_0 s_1 s_0 s_1(\varpi_1)}.$$

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Proposition

$\mathcal{A} \cong \mathbb{C}_q[N(w)]$, the **subalgebra** of $\mathbb{C}_q[N]$ generated by

$$u_0 = \Delta_{s_0(\varpi_0)}^q, \quad u_1 = \Delta_{s_0 s_1(\varpi_1)}^q,$$

$$u_2 = \Delta_{s_0(\varpi_0), s_1 s_0 s_0(\varpi_0)}^q, \quad u_3 = \Delta_{s_0 s_1(\varpi_1), s_0 s_1 s_0 s_1(\varpi_1)}^q.$$

Bases

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- Cluster monomials: $f_0^{k_0} f_1^{k_1} x_n^{a_n} x_{n+1}^{a_{n+1}}$, $n, k_0, k_1, a_n, a_{n+1} \in \mathbb{N}$.

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- C_k , k th (normalized) Chebyshev polynomial of the first kind.
- $\mathcal{B} := \{\text{cluster monomials}\} \cup \{(f_0 f_1)^{k/2} C_k(z(f_0 f_1)^{-1/2}) \mid k \geq 1\}$.

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Theorem (Sherman-Zelevinsky)

$\underline{\mathcal{B}}$ is a \mathbb{Q} -basis of $\underline{\mathcal{A}}$, characterized by positivity properties.

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Example: $C_2(t) = t^2 - 2$, hence $z^2 - 2f_0 f_1 \in \underline{\mathcal{B}}$.

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Example: $S_2(t) = t^2 - 1$, hence $z^2 - f_0 f_1 \in \underline{\mathcal{S}}$.

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- **Remark :** This is the same as Dupont's basis coming from generic representations of the Kronecker quiver.

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Definition

- Let σ be the anti-automorphism of \mathcal{A} such that

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- For $\mathbf{a} = (a_3, a_2, a_1, a_0) \in \mathbb{N}^4$, put

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- $S(\mathbf{a}) := \{\mathbf{b} \in \mathbb{N}^4 \mid \mathbf{a} \triangleleft \mathbf{b} \text{ and } \mathbf{b} \neq \mathbf{a}\}$ is finite.

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Theorem

There is a unique $\mathbb{Q}(q)$ -basis $\mathcal{B} = \{B[\mathbf{a}] \mid \mathbf{a} \in \mathbb{N}^4\}$ of \mathcal{A} satisfying

- (i) $B[\mathbf{a}] - E[\mathbf{a}] \in \bigoplus_{\mathbf{b} \in S(\mathbf{a})} q\mathbb{Z}[q]E[\mathbf{b}],$
- (ii) $\sigma(B[\mathbf{a}]) = q^{-N(\mathbf{a})}B[\mathbf{a}].$

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There is a unique $\mathbb{Q}(q)$ -basis $\mathcal{B} = \{\textcolor{red}{B}[\mathbf{a}] \mid \mathbf{a} \in \mathbb{N}^4\}$ of \mathcal{A} satisfying

- (i) $\textcolor{red}{B}[\mathbf{a}] - \textcolor{blue}{E}[\mathbf{a}] \in \bigoplus_{\mathbf{b} \in \textcolor{brown}{S}(\mathbf{a})} q\mathbb{Z}[q]\textcolor{blue}{E}[\mathbf{b}],$
- (ii) $\sigma(\textcolor{red}{B}[\mathbf{a}]) = q^{-\textcolor{brown}{N}(\mathbf{a})}\textcolor{red}{B}[\mathbf{a}].$

Examples: $\textcolor{blue}{B}[0, 0, 0, 1] = u_0$, $\textcolor{red}{B}[0, 0, 1, 0] = u_1$, $\textcolor{red}{B}[0, 1, 0, 0] = u_2$,

$\textcolor{red}{B}[1, 0, 0, 0] = u_3$, $\textcolor{red}{B}[0, 1, 0, 1] = p_0$, $\textcolor{red}{B}[1, 0, 1, 0] = p_1$.

$\textcolor{red}{B}[2, 0, 0, 1] = \textcolor{blue}{E}[2, 0, 0, 1] - (q + q^3)\textcolor{blue}{E}[1, 1, 1, 0] + q^2\textcolor{blue}{E}[0, 3, 0, 0]$.

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Remark: Via $\mathcal{A} \hookrightarrow \mathbb{C}_q[N]$, \mathcal{B} is a subset of the dual of Lusztig's canonical basis of $U_q(\mathfrak{n})$.

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Proposition

- For $a_0, a_1, a_2, a_3 \in \mathbb{N}$,

$$\textcolor{red}{B}[0, 0, a_1, a_0] = \textcolor{blue}{E}[0, 0, a_1, a_0],$$

$$\textcolor{red}{B}[0, a_2, a_1, 0] = \textcolor{blue}{E}[0, a_2, a_1, 0],$$

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- For $\mathbf{a} = [a_3, a_2, a_1, a_0] \in \mathbb{N}^4$,

$$\begin{aligned}\textcolor{red}{B}[\mathbf{a}]p_0 &= q^{-(a_2+2a_1+3a_0)} \textcolor{red}{B}[a_3, a_2 + 1, a_1, a_0 + 1] \\ &= q^{2(2a_3+a_2-a_0)} p_0 \textcolor{red}{B}[\mathbf{a}],\end{aligned}$$

$$\begin{aligned}p_1 \textcolor{red}{B}[\mathbf{a}] &= q^{-(3a_3+2a_2+a_1)} \textcolor{red}{B}[a_3 + 1, a_2, a_1 + 1, a_0] \\ &= q^{2(-a_3+a_1+2a_0)} \textcolor{red}{B}[\mathbf{a}]p_1.\end{aligned}$$

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Proposition implies:

- every element of \mathcal{B} is product of a monomial in q, p_0, p_1 times an element of the form:

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- The first three types are the quantum cluster monomials supported on $\{u_0, u_1\}, \{u_1, u_2\}, \{u_2, u_3\}$.
- We are left with type $\mathcal{B}[a_3, 0, 0, a_0]$.

Imaginary elements of \mathcal{B}

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Define

$$\textcolor{blue}{Z} := \textcolor{red}{B}[1, 0, 0, 1] = E[1, 0, 0, 1] - q^2 E[0, 1, 1, 0] = u_3 u_0 - q^2 u_2 u_1.$$

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Then

$$q^4 \textcolor{blue}{Z}^2 = \textcolor{red}{B}[2, 0, 0, 2] + \textcolor{red}{B}[1, 1, 1, 1] = \textcolor{red}{B}[2, 0, 0, 2] + q^2 p_0 p_1.$$

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Specializing $q \mapsto \textcolor{pink}{1}$, we get $\textcolor{blue}{z}^2 - f_0 f_1 \in \underline{\mathcal{A}}$.

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Corollary

The $q \mapsto 1$ specialization of Luzstig-Kashiwara's dual canonical basis \mathcal{B} is not equal to the Sherman-Zelevinsky canonical basis $\underline{\mathcal{B}}$.

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Conjecture

For $k \in \mathbb{N}$:

$$q^{4k} \mathcal{B}[1, 0, 0, 1] \mathcal{B}[k, 0, 0, k] = \mathcal{B}[k+1, 0, 0, k+1] + \mathcal{B}[k, 1, 1, k].$$

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- \implies the $q \mapsto 1$ specialization of $\mathcal{B}[k, 0, 0, k]$ is given by Chebyshev polynomial of second kind.

Real elements of \mathcal{B}

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Can check

$$\begin{aligned}\mathcal{B}[2, 0, 0, 1]\mathcal{B}[0, 1, 0, 0] &= q^{-3}(q\mathcal{B}[2, 1, 0, 1] + \mathcal{B}[2, 0, 2, 0]), \\ \mathcal{B}[0, 0, 1, 0]\mathcal{B}[1, 0, 0, 2] &= q^{-3}(q\mathcal{B}[1, 0, 1, 2] + \mathcal{B}[0, 2, 0, 2]).\end{aligned}$$

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Conjecture

For $k \geq 2$ we have

$$\begin{aligned}\mathcal{B}[k+1, 0, 0, k]\mathcal{B}[k-1, 0, 0, k-2] &= q^{-4k(k-1)}(q\mathcal{B}[2k, 0, 0, 2k-2] \\ &\quad + \mathcal{B}[k+1, k-2, k+1, k-2]),\end{aligned}$$

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Conjecture

If $a_3 \neq a_0$ then $\mathcal{B}[a_3, 0, 0, a_0]$ is a quantum cluster monomial.

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When $q \mapsto 1$, Lusztig-Kashiwara's *dual canonical basis* \mathcal{B} of \mathcal{A} specializes to Caldero-Zelevinsky's *semicanonical basis* \mathcal{S} of A .

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- Can we describe the $q \mapsto 1$ specialization of \mathcal{B} in terms of representation theory of quivers, or preprojective algebras ?
- What is the positive cone spanned by $\mathcal{B}|_{q=1}$?